



Sheet 1 of 1

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1095.1195	APPLICATION NO. 09/942,642
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Yoshiya OKAMOTO	
		FILING DATE August 31, 2001	GROUP ART UNIT 2161

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
O A	AG	WO 98/26386	6/18/98	PCT			
O A	AH	2001-319048	11/16/01	Japan			X ¹
O A	AI	WO 00/21011	4/13/00	PCT			
O A	AJ	10-312437	11/24/98	Japan			X ¹
	AK						
	AL						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AM	United Kingdom Search Report dated February 6, 2003
AN	

X¹ Abstract

EXAMINER 	DATE CONSIDERED 8/16/06
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 1 of 1

APPLICATION NO.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO. 1095.1195	APPLICATION NO. 09/942,642
INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>			FIRST NAMED INVENTOR Yoshiya OKAMOTO	
			FILING DATE August 31, 2001	GROUP ART UNIT 3624

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO	ABSTRACT
6-18	AE	3035622	1/8/97	Japan		X
	AF					
	AG					
	AH					
	AI					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION
YES NO

6-A	AJ	Japanese Office Action issued May 31, 2005 in corresponding application No. 2001-130838	X Partial
6-18	AK	Patent Abstracts of Japan, Publication No. 07-073258, Published March 7, 1995.	
6-18	AL	The Center for Financial Industry Information Systems, No. 217, 1999, pp. 88-196.	X Partial

EXAMINER	DATE CONSIDERED
	8/10/05

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1095.1195	APPLICATION NO. 09/942,642
INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Yoshiya OKAMOTO, et al.	
		FILING DATE August 31, 2001	GROUP ART UNIT 3624

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO	ABSTRACT
	AG					
	AH					
	AI					
	AJ					
	AK					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION
YES NO

6-A	AL	Japanese Office Action dated September 13, 2005 in corresponding Japanese Application No. 2001-130838.	X	
6-A	AM	"Quick Understanding of Microsoft Money," Stephen L. Nelson, Nikkei Bus. Pubs., November 6, 2001, 1 st Ed., cover page, pp. 82-83 and miscellaneous page.	X	

EXAMINER

DATE CONSIDERED

8/10/06

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.
1095.1195

APPLICATION NO.

FIRST NAMED INVENTOR
Yoshiya OKAMOTO, et al.FILING DATE
August 31, 2001

GROUP ART UNIT

JC 978 U.S. PTO
09/942642
08/31/01

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
o-A	AG	10149390A	6/2/98	Japan			Abst
o-A	AH	11015873A	1/22/99	Japan			Abst
o-A	AI	11102400A	4/13/99	Japan			Abst
o-A	AJ	11250156A	9/17/99	Japan			Abst
	AK						
	AL						

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AM	
	AN	
	AO	

EXAMINER

DATE CONSIDERED

8/10/06

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